

8-3-01

A

JC921 U.S. PTO  
09/02/01

Docket No: AM200074-00

Patent

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202311c872 U.S. PTO  
09/02/01  
09/02/01New Application for TransmittalTransmitted herewith for filing is the patent application of the following Inventor(s):  
**John Francis Chiarello, Brian Lee Buckwalter, and Timothy Claude Barden;**For: **Process for the Preparation of Chiral Isofluoroenes**

1. Papers enclosed which are required for a filing date under 35 CFR 1.53(b):

- ☒ Pages of specification – **54** pages  
☒ Pages of claims – **12** pages  
☒ Page(s) of abstract – **1** page  
 Sheets of drawing  
☐ Formal  
☐ Informal

2. Additional papers enclosed

- ☒ Information Disclosure Statement  
☒ Form PTO-1449  
☐ Citations  
☐ Declaration of Biological Deposit  
☐ Computer Readable Form of Sequence Listing  
☐ Declaration Under 37 CFR 1.821(f)  
☐ Other:

3. Declaration

- ☒ Enclosed and executed by all inventor(s)  
☐ Enclosed, but not executed by all inventor(s)  
☐ Not enclosed

~~~~~  
CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EK955277265US** addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

August 2, 2001  
Date

Ann Giovannelli  
Ann Giovannelli

4. Assignment

An assignment of the invention to:

Intellectual Property Department  
BASF Aktiengesellschaft  
Carl-Bosch-Strasse 38  
D-67056 Ludwigshafen, Germany

- ☐ was made in the prior application and recorded in PTO on , Reel , Frame .  
☐ is attached under separate Recordation Form Cover Sheet.  
☒ will follow.

5. Filing Fee Calculation

| CLAIMS AS FILED         |                     |                            |   |           |                  |
|-------------------------|---------------------|----------------------------|---|-----------|------------------|
| (1)<br>FOR              | (2)<br>NUMBER FILED | (3)<br>NUMBER EXTRA X RATE |   |           | (4)<br>BASIC FEE |
| TOTAL CLAIMS            | 11-20               | 0                          | X | \$ 18.00  | \$710.00         |
| INDEPENDENT CLAIMS      | 3-3                 | 1                          | X | \$ 80.00  | 0.00             |
| MULTIPLE DEPENDENCY FEE | 0                   |                            | X | \$ 260.00 | 0                |
| Total Filing Fee:       |                     |                            |   |           | \$710.00         |

6. Method of Payment of Fees:

Charge Deposit Account No. **02-1197** in the amount of **\$710.00**.  
A duplicate of this transmittal is attached.

7. Instructions as to Overpayment:

Credit any overpayment to Deposit Account No. **02-1197**.

8. General Authorization:

During the pendency of this application treat any reply requiring a petition for extension of time for its timely submission as containing a request therefor for the appropriate length of time. The Commissioner is hereby authorized to charge all required extension of time fees during the entire pendency of this application to Deposit Account No. 02-1197.

9. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 02-1197:
- ☒ 37 CFR 1.16(a), (f), or (g) filing fees
- ☒ 37 CFR 1.16(b), (c), and (d) presentation of extra claims
- ☒ 37 CFR 1.16(e) surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application.
- ☒ 37 CFR 1.17 application processing fees

10. Relate back (35 USC 119(e))

- ☒ Amend the Specification by inserting before the first line the sentence:

--This application claims priority from copending provisional application(s) serial number **60/222,733** filed on **August 3, 2000**--

  
\_\_\_\_\_  
**Barbara V. Maurer**

Reg. No. **31,278**

BASF Corporation  
Intellectual Property Department  
P.O. Box 400  
Princeton, New Jersey 08543-0400  
Tel. No. (609)716-2317